



566.41259X00

22

Applicants: T. UCHIDA, et al.
Serial No.: 10/069,404
Filed: MAY 6, 2002
Title: POLISHING MEDIUM FOR CHEMICAL-MECHANICAL
POLISHING, AND POLISHING METHOD
Group A U: 1765
Examiner: Lynette T. Umez Eronini
Confirmation No.: 3597

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Mail Stop: AMENDMENT – NO FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

September 22, 2005

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find copies of documents cited in a Communication from the Japanese Patent Office (JPO) mailed June 22, 2005, in connection with a corresponding Japanese patent application. These documents are No. WO 00/13217, No. JP 11-195628, and "Handbook for Surfactant", and were submitted to the JPO by a third party as prior art information. Also enclosed are partial English translations, a computer translation corresponding English-language patent documents, and an English abstract, of non-English language documents. Further enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

This Information Disclosure Statement is being submitted within three months of the date of the Communication from the Japanese Patent Office. Accordingly, the following Statement is made under 37 CFR 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.



Docket No. 566.41259X00
Serial No. 10/069,404
September 22, 2005

The above-identified application is under a non-final Office Action, rejecting the claims of the above-identified application on the merits. It is respectfully submitted that the foregoing Statement under 37 CFR 1.97(e) satisfies requirements of 37 CFR 1.97(c), in connection with the above-identified application.

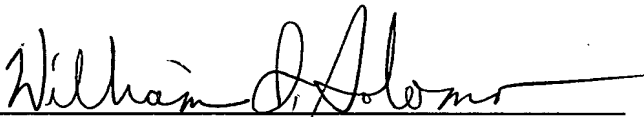
To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied by the enclosed English-language equivalent patent documents for No. WO 00/13217 (that is, U.S. Patent No. 6,896,825 and No. 6,899,812, and U.S. Published Application No. 2005/095860); the Abstract and machine translation for JP 11-195628, and the partial translation of the enclosed Handbook for Surfactant.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed documents. Accordingly, consideration of the enclosed documents, upon examination of the above-identified application, is respectfully requested.

Applicants request any shortage of fees due in connection with the filing of this paper please be charged to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 566.41259X00), and credit any excess fees to such account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By 
William I. Solomon
Registration No. 28,565

WIS/ksh
1300 N. Seventeenth Street
Suite 1800
Arlington, Virginia 22209
Tel: 703-312-6600
Fax: 703-312-6666

Substitution for form PTO-100 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>September 22, 2005</u> <i>(use as many sheets as necessary)</i>				Complete if Known Application Number 10/069.404 Filing Date May 6, 2002 First Named Inventor T. UCHIDA, et al. Art Unit 1765 Examiner Name Lynette T. Umez Eronini	
Sheet	1	of	2	Attorney Docket Number	566.41259X00

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 11-195628	07/21/1999	S. Kondo, et al.		A & F
		WO 00/13217	09/03/2000	T. Uchida, et al.		A

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/069,404
INFORMATION DISCLOSURE STATEMENT BY APPLICANT September 22, 2005 (use as many sheets as necessary)				Filing Date	May 6, 2002
				First Named Inventor	T. UCHIDA, et al.
				Group Art Unit	1765
				Examiner Name	Lynette T. Umez Eronini
				Attorney Docket Number	566.41259X00
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Communication, from the Japanese Patent Office for a corresponding Japanese patent application, No. 2001-520456, dated June 22, 2005.	N
		<u>Handbook for Surfactant</u> (October 1, 1987), pages 252 and 253.	P

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option2